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SATURN SHORT LONG CODE MANAGEMENT

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PREFACE

PURPOSE

The purpose of this document is to introduce the Short Long Code (SLC) Manager GUI and API as well as data requirements for the submission of a mapping between short codes and long codes for Euronext Cash and Derivative Markets and Bourse de Luxembourg.

RTS 24 of MiFID II requires Trading Venues to be able to supply regulators with a wide range of orderrelated data. To gather this information, whilst avoiding sensitive information circulating on the nonencrypted order entry interface, the following 'short codes' have been deployed in order entry messages:

- ClientIdentificationShortCode Short code corresponding to LEI, National ID, 'AGGR' (aggregated orders) or 'PNAL' (pending allocations);
- ExecutionWithinFirmShortCode Short code corresponding to National ID, Algorithm ID, NORE (client execution decision maker);
- InvestmentDecisionWFirmShortCode Short code corresponding to National ID or Algorithm ID
- **NonExecutingBrokerShortCode** Short code corresponding to LEI.

To ensure that the Trading Venues can fulfil the requirements for Order Record Keeping under RTS 24, Members are requested to submit a mapping between short codes and corresponding long codes via the dedicated application **`SLC Manager**'.

TARGET AUDIENCE

This document should be read by **all** Euronext Trading Members across all Euronext markets including Bourse de Luxembourg.

SCOPE

The scope of this document is listed below:

Optiq Segment	Segment Value	In/Out of Scope	SBE Version		
EURONEXT CASH MARKET					
Equities EQU	1	✓			
Funds ETF	2	✓			
Fixed Income FXI	3	✓			
Warrants & Certificates WAR	4	✓			
Block BLK	14	✓			
Irish Bonds and Funds IBF	16	✓			
Forex FX	15	✓			
OTHER MARKETS					
Luxembourg Stock Exchange BDL	5	~			

Optiq Segment	Segment Value	In/Out of Scope	SBE Version		
EURONEXT DERIVATIVES MARKET					
Commodity Derivatives CMO	8	\checkmark			
Index Derivatives IDD	11	✓			
Equity Derivatives EQD	12	✓			
EURONEXT INDICES					
Indices Indices	9	×			
EURONEXT APPROVED PUBLICATION ARRANGEMENT (APA) FACILITY					
Trade Reporting and Publication TRP	10	×			

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WHAT'S NEW?

The following lists only the most recent modification made to this revision/version. For the Document History table, please go to $p_{\tau}age \frac{7069}{2}$.

Version Number	Date	Change Description	
3.0. <u>1</u> 0	<u>3012 Jun Apr</u> 202 <u>4</u> 3	 Specification update using new template. Removed Order ID section as it was depreciated<u>deprecated</u>. Updated Section <u>Data Structure And Validation Rules</u>: New fields required for Short Long Code mapping declaration for non MIFID members. A new file format is accepted. Also added modification on Short Long Code mapping feature with Action Type field introduction. Added Section <u>Upload & API Fields Name</u>: Fields naming convention whether used in Upload Files or via Web API. 	

Version Number	Date	Change Description	
		Added Web API Sections in <u>Data Submission</u> section: API REST that can be used by clients are specified, with examples.	
		 Added Sections <u>SLC Mapping for Physical Persons Non MiFID Members</u> only, <u>SLC Mapping new features</u>: Explanation on the Physical Persons fields introduction, as well as on the new feature. 	
		 Added Sections <u>Action Type Field Error Management</u>, <u>Physical Persons</u> <u>fields Definition & Error Management</u>: Explanation on controls done in the Short Long Code mapping declaration. 	
		 Added-Updated Section Examples: Amend Examples are available for Members in order to correctly report SLC Mapping.amended with the correct Action Type value. 	

ASSOCIATED DOCUMENTS

The following lists the associated documents, which either should be read in conjunction with this document or which provide other relevant information for the user, please visit <u>http://www.euronext.com/en/it-documentation/</u>.

Clients are additionally advised to also refer to the Euronext Rules and Regulations documents for more details.

For the latest version of documentation please visit the <u>IT Documentation page</u>.

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1. TERMS AND ACRONYMS

- SLC Manager Short and Long Code Manager
- **GUI** Graphical User Interface

• API REST – Application Programming Interface REST compliant

2. TECHNOLOGY

2.1 SLC MANAGER

The SLC Manager is a Euronext web-based tool for submitting and managing data related to Order Record Keeping requirements for Trading Venues under RTS 24, providing:

- A secure solution with certificate-based authentication:
 - SecureID® card for GUI access;
 - O O Login/Password attribution and 2FA (two-factor authentication) for API access.
- Confidentiality management through resilient access controls:
 - Independent administrator user profile to manage access rights to add, view, modify, delete and export files per user.
- High ease of connectivity with upload and data management possibilities via GUI and API REST:
 - <u>Supported file upload formats GUI: .CSV & .dat (soon to be depreciated);</u>
 - o <u>Supported file upload formats API: JSON, XML, .dat</u> (**soon to be** <u>depreciated</u>)
 - Delta file management via Form Submission into GUI.
- Centralised administration for all Euronext markets and Euronext hosted solutions;
- Fast feedback of file quality with error detection.

2.2 SATURN WEB SERVICES

The **SLC Manager** is offered as a functionality within **Saturn Web Services**. Saturn Web Services is the technical solution that has been deployed by Euronext in the context of MiFID II to securely host Euronext ._Trade Publication (APA) and Transaction Reporting (ARM) services¹, Commodities Position Limits Reporting, and the short and long code management tool SLC Manager.

The services in the Saturn API are exposed as Web Services:

- Message format: REST;
- *Transport mechanism*: HTTPS.

The Saturn REST API allows multiple formats: JSON, XML, .dat (soon to be depreciated) and csv files.

2.1 RECOMMENDED BROWSER CONFIGURATION

To fully benefit from this Web application, Euronext recommends using Internet Explorer 11.0 or higher, Chrome or Firefox version 51.0.

¹ Both APA & ARM services will be terminated by end 2023.

3. ACCESS AND USER MANAGEMENT

3.1 MEMBER ACCESS

The SLC Manager is offered as a functionality within the Euronext Regulatory Reporting Solution, hosted on Saturn. To obtain access to the functionality, Member Connectivity Administrators (MCAs) are required to submit a service access request using the Saturn Access web form(s) available on <u>Euronext Connect</u>. Members will be provided with SecurID® Card for GUI access by Euronext <u>Customer Access Service</u> (<u>CAS</u>). To obtain a Certificate for API access, Members are invited to submit a request directly via the Saturn interface using the Digicert link. Euronext will validate the request and the Member will receive the Certificate by email. The Member or appointed service provider shall install the Certificate and use it to access Saturn via API. Customers are requested to contact Client Support team after testing to confirm that they were able to successfully upload files for reporting purposes.

Access will initially only be granted for the EUA environment. To obtain access to the Production environment, Euronext requires all Members to:

- 1. become familiar with the Terms and Conditions governing access to and use of the Euronext Regulatory Reporting Solution;
- 2. return to <u>Euronext Membership</u> the Registration Form duly completed with information relevant to the use of the Euronext Regulatory Reporting Solution.

Relevant documentation Euronext Reporting Solution - Registration Form Euronext Reporting Solution - Terms and Conditions

3.2 ACCESS FEES

3.2.1 Access to the Web-GUI

Access to the web-GUI is based on a personal RSA SecurID® card. The access is free up to two (2) User-IDs per Member using the Service(s). User profiles may be split across the EUA and Production environments as deemed appropriate. A charge will be applied for Members with three (3) or more User-IDs.

Number of User-IDs	Fee for 3 years use (€)
$1 \leq 2$ (standard)	N/A
Each additional user	200

3.2.2 Access to the Web-API

WEB-API access will be defined by <u>an</u> Investment Firm through the GUI. The access is free for up to six (6) API Logins per Member using the Service(s). For <u>an</u> Investment Firm requesting more than six (6) API <u>l</u>eogins per Member using the Service(s), an additional annual fee is applied.

Number of API Log	ins Ar	nnual Fee (€)
$1 \leq 6$ (standard)		N/A
Package for 9		150
Each additional 3 thereafter	8 logins	100

Firms signing up to more than one service on the platform may receive more complimentary accesses. See the Euronext website for more information.

3.1 USER ADMINISTRATION MANAGEMENT

Administrators are assigned by Euronext Membership during the SLC Manager onboarding process. The SLC Manager enables the Administrator to set rights per *User* via the SLC Admin tool:

SLC Manager profile	VIEW	CREATION	UPDATE	DELETE
Users Management				
Business Unit Management				
User Reset Password				
User Unlock				
Profile Management	11			
User Activity				
Dashboard ARM				
Dashboard ARM Trades				
Upload ARM Trades	11		10.00	
Dashboard Commodities				
Upload Commodities				
SLC manager	V	V		
Upload SLC	V			

Functionality	description	Rights
SLC manager	This function allows the user to manage short and long codes SLC –(create a new SLC, amend or cancel an existing SLC)	View, Create, Update
Upload SLC	This function allows the user to upload a list of short and long codes via a .dat <u>or</u> . <u>csv</u> file and view the list of uploaded files	View, Update

User profiles can be set up with the following rights:

Please note: For Members who already have access to other services within Saturn Web Services, the User access configuration will stay the same but can be amended per Saturn functionality.

4. SESSION HANDLING

The Euronext SLC Manager allows for high ease of connectivity through both GUI and API REST. The following section explains how to start the SLC Manager in order to communicate with the application. More precisely, it <u>tells youexplains</u> how to:

Log-in;

Log-out.

No previous installation is necessary.

4.1 LOGGING IN

The following table provides the links to connect to the Saturn Web/API application in the EUA and Productions environments.

Environment	URL Saturn GUI	URL Saturn Web Services
PEUA	<u>https://saturn-</u> <u>eua.euronext.com</u> https://saturn- h.euronext.com	<u>https://saturnapi-</u> <u>eua.euronext.com</u> https://saturn-api- h.euronext.com
PRODUCTION	https://saturnhttps://saturn- prod.euronext.com- p.euronext.com	<u>https://saturnapi-</u> <u>prod.euronext.com</u> https://saturn-api- p.euronext.com

4.1.1 Web-GUI

During the month of December 2020, a new version of Saturn which aims to strengthen the security of the login procedure <u>will bewas</u> released. A two-factor authentication <u>will bewas</u> introduced, to supplement a <u>user-controlled password</u> with a <u>one-time password</u> (OTP). Saturn GUI users <u>would beare</u> able to choose their own two-factor authentication (2FA) mode between RSA SecurID® and the new Time-based One-time Password (TOTP)².

 $^{^{\}rm 2}$ Please note that this will be a temporary solution until the RSA token expires. Eventually, all users will have to use the TOTP solution.

Session Handling

4.1.1.1 If the RSA mode is chosen for authentication

To logon to the Euronext Saturn User Interface, please follow the steps below:

- 1. Start a Web browser;
- 2. Enter the appropriate secure domain address;
- 3. The Euronext Saturn login form is displayed:



4. When the Saturn login page appears, <u>the</u> User must logon with <u>his</u> valid connection parameters:

	For the first connection to Saturn	For all other usual connections
User ID	User's Login provided in the authentication email sent by Euronext CAS (Customer Access Services) team to the User right after the subscription (if for some reason the client is not able to use this authentication parameter, please <u>contact</u> the Euronext CAS team for further notice).	User's Login.
Password	One-Time Password provided in the authentication email sent by Euronext CAS team to the User right after the subscription to Saturn's service(s).	Password defined by the user.

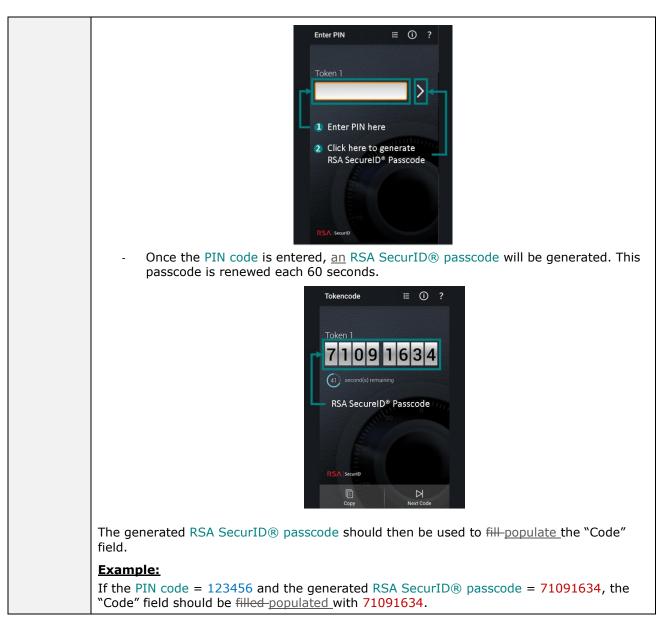
5. Press the "LOGIN" button. If the credentials are valid, the second factor authentication appears.

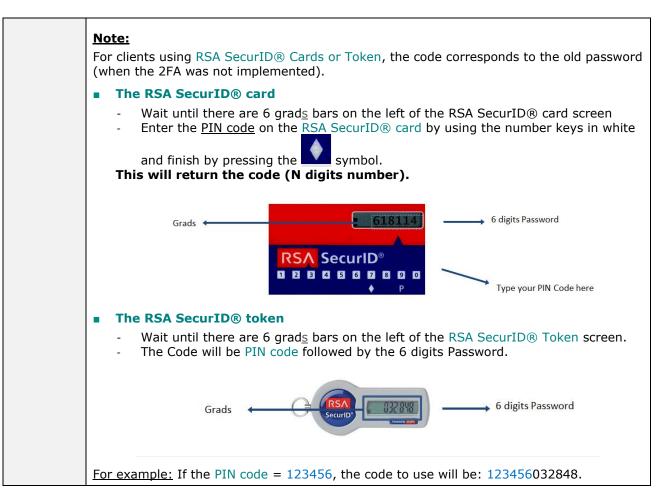


6. The User should then, <u>fill_populate</u> the "Code" field described below:

	The Code (on 8 digits) corresponds to a RSA SecurID ® passcode derived from the use of a PIN ³ code in <u>an</u> RSA SecurID ® software.
	Both PIN and RSA SecurID® soft token are provided by the Euronext CAS team.
Code	 PIN: a 6 digits code intended to be used in the RSA SecurID® soft token in order to generate a RSA SecurID® passcode.
Coue	 The RSA SecurID® soft token The soft token is sent via email and it should be installed on a device (phone, computer, etc.)
	- Enter the PIN code on the RSA SecurID® soft token

 $^{^3}$ Please contact the Euronext CAS team to get the PIN code and the RSA SecurID $^{\circ}$ soft token.





7. The last step is to click on the "VERIFY" button of the Saturn's connection formwindow. If the User is authorized to access to the Saturn GUI and all the authentication parameters are valid, he will successfully access is granted to the platform.

4.1.1.2 If the Time-based One-Time Password (TOTP) mode is chosen for authentication

APPENDIX A: HOW TO SET UP THE TOTP SOLUTION ON A DEVICE

Before using the TOTP as authentication mode, clients are advised to install the TOTP application(s) **on their mobile phones**⁴.

A) User Guide Google Authenticator App

The User will need to install the Barcode Scanner application (if you do not have one installed, Authenticator will ask you to install one).

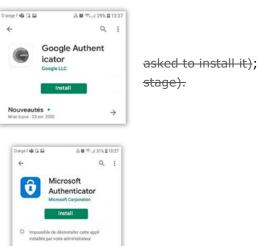
- Download and install the Google Authenticator app for <u>iOS</u> or <u>Android</u>;
- On your mobile device, open the Google Authenticator app;
- Tap on **BEGIN SETUP**;
- Tap Scan a Barcode (if you do not have Barcode Scanner, you will be

- B) User Guide Microsoft Authenticator App
- Download and install the Microsoft Authenticator app for <u>iOS</u> or <u>Android</u>;
- On your mobile device, open the Microsoft Authenticator app;
- Tap the Add icon an Account option;
- Select your desired account type. If prompted, select **Scan QR code**.
- Select Next on the Scan the QR code page on your computer



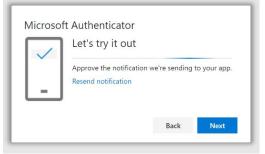
^{• &}lt;sup>4</sup> If for some reason the Users are not able to use their mobile phones for the TOTP solution, it is possible to install the TOTP application on a computer. In this case please contact the Euronext Customer Access Services team (CAS) for further guidance on <u>cas@euronext.com</u>.



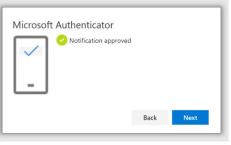


Session Handling

• A notification is sent to the Microsoft Authenticator app on your mobile device, to test your account.



• Approve the notification in the Microsoft Authenticator app, and then select **Next**.



Your security info is updated to use the Microsoft Authenticator app by default to verify your identity when using two-step authentication or password reset.

APPENDIX B: LOGON TO SATURN GUI

To logon to the Euronext Saturn User Interface, please follow the steps below:

- 1. Start a Web browser;
- 2. Enter the appropriate secure domain address;
- 3. The Euronext Saturn login form-window is displayed:



4. When the Saturn login page appears, User must logon with his valid connection parameters:

	For the first connection to Saturn	For all other usual connections
User ID	User's Login provided in the authentication email sent by Euronext CAS (Customer Access Services) team to the User right after the subscription (if for some reason the client is not able to use this authentication parameter, please <u>contact</u> the Euronext CAS team for further noticeassistance).	User's Login.

Session Handling

	For the first connection to Saturn	For all other usual connections
Password	One-Time Password provided in the authentication email sent by Euronext CAS team to the User right after the subscription to Saturn's service(s).	Password defined by the user.

5. Press the "LOGIN" button. If the credentials are valid, the second factor authentication appears.



6. The User should then, fill-populate the "Code" field with the 6 digits code from the chosen TOTP application (-Google or Microsoft Authenticator).

Session Handling

TOTP Application	Saturn's Connection form
* ● ♥⊿ ∎ 1:02 Google Authenticator :	Ger .
Euronext Prod(UserName) 137 130	
	User ID ******** Password *********
Code to use	→ Code 137 130
+	VERIFY

7. The last step is to click on the "VERIFY" button.- of the Saturn's connection form. If the User is authorized to access to the Saturn GUI and all the authentication parameters are valid, he will successfully access is granted to the platform.

¥<u>If y</u>our SecureID Card is blocked, please click here or contact Euronext Membership Customers -Connectivity (tel +33 185 148 589).

Please note: Unblocking your SecureID Card® by telephone requires your personal 12 digit- identification code.

4.1.2 Web-API

Please refer to $\underline{\text{section 6}}$ and $\underline{\text{section 7}}$ of this document.

4.2 LOGGING OUT

4.2.1 Web-GUI

To log-out, click on the "LOGOUT" icon in the Admin block of the application screen.



Users will automatically be logged out of the system after 10 minutes of inactivity.

4.2.2 Web-API

Function:

Function name: Logout

Request EndPoint: /SaturnWebServices/rest/Authentication/Logout

Method: POST

5. SHORT AND LONG CODE MAPPING SUBMISSION

The following chapter outlines the terms of reference for the submission of a mapping between short codes and long codes.

5.1 DATA STRUCTURE REQUIREMENTS

All data submitted must adhere to the file format, naming convention, data structure requirements and validation rules outlined in the following section.

5.1.1 File <u>f</u>Formats <u>a</u>And <u>n</u>Naming <u>c</u>Convention

The current file formats accepted are:

- DAT (which extension is .dat),
- **CSV** (which extension is .csv).

The filename is composed as follows:

🐙 Note

Please note that .dat file will be depreciated and replaced by .csv file. Please refer to this section for CSV file specifications.

ShortLongCodes_[date]_[member_code]_[market_type]_[sequence].[file_extension]

Where:

Field name	Format	Description	Values	Mandatory	Rejection s cases
		Prefix of the file.	ShortLongCodes		Not equal to:
ShortLongCode	Alphanumerical			Yes	`ShortLongCodes'
date	Date	Date of the file.	Format: YYYYMMDD	Yes	Not a valid date.

Short and Long Code Mapping submission

Field name	Format	Description	Values	Mandatory	Rejection s cases
member_code	Alphanumerical ID	Unique participant ID of a member o <u>f</u> n 8 characters. Applied <u>Assigned</u> by Euronext or <u>to</u> hosted solutions <u>or</u> to Members.	Letters, numbers, Fixed- length -8 char. Left padded with '0' where Member code is less than 8 characters.	Yes	Not on 8 characters.
market_type	Integer	Identifier of the market for which the short code is declared. In case of presence on two both markets, Member must send two reports, one for each market.	 1 - Euronext Cash or Derivatives Markets 2 - Bourse de Luxembourg 	Yes	Value not in (1,2)
sequence	Integer	Sequence number, to be incremented in case of multiple subsequent deliveries <u>uploads</u> for a given day.	Between 01 and 99 digit.	Yes	 Validation rules: Must be defined between 01 and 99 digit. if more than 99 files need to be submitted for the same Market during the same day, sequence can restart from 01. Rejection cases: Contains less than 2 characters. Contains special characters, accentuated characters, punctuation marks. Contains letters.
file_extension	Alphanumeric	File extension	.dat or .csv	Yes	Not equal to " .dat" or " .csv"

Example: ShortLongCodes_20170601_00014956_1_01.dat, ShortLongCodes_20170601_00014956_1_01.csv.

5.1.1 Data <u>Ss</u>tructure <u>a</u>And <u>v</u>Validation <u>r</u>Rules

5.1.1.1 DAT file (TO BE DEPRECIATED)

The following data structure is required for the purposes of short code and long code mapping in **.dat** files. The first line must contain the label of the fields in any order.

– 🕘 · Note

Please note that .dat file will be depreciated and replaced by .csv file. Please refer to this section for CSV file specifications.

The labels of the fields are listed below in the firste column of the table, please note that the labels are case sensitive:

sShortCode|sLongCode|cCodeType|dEffectiveDate|dEndDate|FirstName|Surname|DateOfBirth|LongCode2|FirstName2|Surname2|DateOfBirth2

Where:

Field name	Format	Description	Values	Mandatory	Rejections cases
sShortCode	Integer	by the Participant in	Integer within the range of numerical values, as detailed in the respective external client specifications ¹	Yes	 Not an Integer, or a value not within the range of numerical values [-2³¹(-2,147,483,648); 2³¹-1 (2,147,483,647)], as identified in the respective external client specifications for the various short code fields. Value already used with another Long Code. Short Code reserved values: '1' = long code 'AGGR' (aggregated orders) '2' = long code 'PNAL' (pending allocations) '3' = long code 'NORE' (where execution decision was made by a client)

¹ Members are required are required to generate their own short codes. In case of an ISV Algo, the member may rely on the ISV to generate a short code, provided that negative short code ranges are applied.

Short and Long Code Mapping submission

Field name	Format	Description	Values	Mandatory	Rejections cases
sLongCode	Alphanumerical	Long code that will be populated for the purposes of MiFID Order Record Keeping (RTS 24).	Alphanumerical values with maximum length of: - 35 when cCodeType = 2, 3, 5 - 50 when cCodeType = 4, 6 - 20 when cCodeType = 1, 7	Yes	 Empty Max length exceeds 35 when cCodeType = 2, 3 & 5 Max length exceeds- 50 when cCodeType = 4 & 6 Max length exceeds 20 when cCodeType = 1, 7 Contains invalid characters other than # and Capital letters. Cf Section for Long Code Error Management.
cCodeType	Integer	Identifies how to interpret the long code.	 Client Identification as a LEI Client Identification as a National ID Investment Decision Within Firm as a National ID Investment Decision Within Firm as an Algo Execution Within Firm as a National ID Execution Within Firm as a Algo Execution Within Firm as a Algo Non Executing Broker Code as a LEI 	Yes	1- Empty 2- Values not in (1,2,3,4,5,6,7)
dEffectiveDate	Date	Date as of which the short and long code mapping is effective.	Format: YYYY-MM-DD	Yes	 Empty Effective date < Current date - 1
dEndDate	Date	When filledpopulated, indicates when the registration for the short and long code mapping is no longer effective. End date must be greater than the effective date.	Format: YYYY-MM-DD	Νο	1- EndDate < EffectiveDate
FirstName	Alphanumerical	Full first name of the natural person.	Latin alphabet (A-Z), Cyrillic alphabet (A-Я), Greek alphabet (A-Ω), diacritical characters and the following non- alphanumerical characters: comma ",",	Conditional	Cf <u>Section for Physical Persons Fields Error</u> <u>Management</u> Section for Natural Persons Fields Error <u>Management</u> .

Short and Long Code Mapping submission

Field name	Format	Description	Values	Mandatory	Rejections cases
			space " ", apostrophe "'", minus "-", dash "–".		
Surname	Alphanumerical	Full Surname of the natural person.	Latin alphabet (A-Z), Cyrillic alphabet (A-Я), Greek alphabet (A-Ω), diacritical characters and the following non- alphanumerical characters: comma ",", space " ", apostrophe "'", minus "-", dash "-".	Conditional	Cf <u>Section for Physical Persons Fields Error</u> <u>Management</u> Section for Natural Persons Fields Error <u>Management</u> .
DateOfBirth	Date	Birth Date of the client natural person.	Format: YYYY-MM-DD	Conditional	<u>Cf Section for Natural Persons Fields Error</u> <u>ManagementCf Section for Physical Persons Fields Error Management.</u>
LongCode2	Alphanumerical	Long code that will be populated for the purposes of MiFID Order Record Keeping (RTS 24).	Alphanumerical values with maximum length of: - 35 when cCodeType = 2, 3, 5 - 50 when cCodeType = 4, 6 - 20 when cCodeType = 1, 7	Conditional	<u>Cf Section for Natural Persons Fields Error</u> <u>ManagementCf Section for Physical Persons Fields Error <u>Management</u>.</u>
FirstName2	Alphanumerical	Full first name of the natural person. (for joint account)	Latin alphabet (A-Z), Cyrillic alphabet (A- \Re), Greek alphabet (A- Ω), diacritical characters and the following non- alphanumerical characters: comma ",", space " ", apostrophe "'", minus "-", dash "-".	Conditional	<u>Cf Section for Natural Persons Fields Error</u> <u>ManagementCf Section for Physical Persons Fields Error</u> <u>Management</u> .
Surname2	Alphanumerical	Full Surname of the natural person. (for joint account)	Latin alphabet (A-Z), Cyrillic alphabet (A- \Re), Greek alphabet (A- \Re), diacritical characters and the following non- alphanumerical characters: comma ",", space " ", apostrophe "'", minus "-", dash "-".	Conditional	<u>Cf Section for Natural Persons Fields Error</u> <u>Management</u> Cf <u>Section for Physical Persons Fields Error</u> <u>Management</u>.
DateOfBirth2	Date	Birth Date of the client natural person. (for joint account)	Format: YYYY-MM-DD	Conditional	<u>Cf Section for Natural Persons Fields Error</u> <u>ManagementCf Section for Physical Persons Fields Error <u>Management</u>.</u>

Short and Long Code Mapping submission

Field name	Format	Description	Values	Mandatory	Rejections cases

Examples:

- 4670|LONGCODE67|3|2023-07-18|2026-07-18|
- 18691|LONGCODE7492|4|2023-08-13|2025-08-13|
- -22280|LONGCODE5097|4|2023-04-19|2025-04-19|
- -14390|FR19800101PIERRDUPON|6|2013-04-15|2024-04-15|PIERRE|DUPOND|1980-01-01
- 14390|FR19800101PIERRDUPON|6|2013-04-15|2024-04-15|PIERRE|DUPOND|1980-01-01|FR19850101MARIEDUPON|MARIE|DUPOND|1985-01-01

5.1.1.2 CSV file

The following data structure is required for the purposes of short code and long code mapping in **.csv** files, with following requirements:

File extension: <u>.csv</u>	File linefeed: LF (Linux linefeed)
File separator: ; (semi-colon)	File encoding: UTF-8

The first line must contain the label of the fields in any order.

The labels of the fields are listed below in the firste column of the table, please note that the labels are case sensitive:

ActionType;ShortCode;LongCode;CodeType;EffectiveDate;FirstName;Surname;DateOfBirth;LongCode2;FirstName2;Surname2;DateOfBirth2 Where:

Short and Long Code Mapping submission

Field name	Format	Description	Values	Mandatory	Rejections cases
ActionType	Integer	whether the mapping report is new, an	1 – New 2 – Amend 3 – Cancel	YES	Cf <u>Section for Action Type Management</u> .
ShortCode	Integer	by the Participant in	Integer within the range of numerical values, as detailed in the respective external client specifications ¹	Yes	 Not an Integer, or a value not within the range of numerical values [-2³¹(-2,147,483,648); 2³¹-1 (2,147,483,647)], as identified in the respective external client specifications for the various short code fields. Value already used with another Long Code. Short Code reserved values: '1' = long code 'AGGR' (aggregated orders) '2' = long code 'PNAL' (pending allocations) '3' = long code 'NORE' (where execution decision was made by a client)
LongCode	Alphanumerical	Long code that will be populated for the purposes of MiFID Order Record Keeping (RTS 24).	Alphanumerical values with maximum length of: - 35 when cCodeType = 2, 3, 5 - 50 when cCodeType = 4, 6 - 20 when cCodeType = 1, 7	Yes	 Empty Max length exceeds 35 when cCodeType = 2, 3 & 5 Max length exceeds 50 when cCodeType = 4 & 6 Max length exceeds 20 when cCodeType = 1, 7 Contains invalid characters other than # and Capital letters. Cf Section for Long Code Error Management.
CodeType	Integer	Identifies how to interpret the long code.	 Client Identification as a LEI Client Identification as a National ID Investment Decision Within Firm as a National ID 	Yes	 Empty Values not in (1,2,3,4,5,6,7)

¹ Members are required are required to generate their own short codes. In case of an ISV Algo, the member may rely on the ISV to generate a short code, provided that negative short code ranges are applied.

Short and Long Code Mapping submission

Field name	Format	Description	Values	Mandatory	Rejections cases
			 4- Investment Decision Within Firm as an Algo 5- Execution Within Firm as a National ID 6- Execution Within Firm as a Algo 7- Non Executing Broker Code as a LEI 		
EffectiveDate	Date	Date as of which the short and long code mapping is effective.	Format: YYYY-MM-DD	Yes	 Empty Effective date < Current date - 1
FirstName	Alphanumerical	Full first name of the natural person.	Latin alphabet (A-Z), Cyrillic alphabet (A- \Re), Greek alphabet (A- Ω), diacritical characters and the following non- alphanumerical characters: comma ",", space " ", apostrophe "'", minus "-", dash "-".	Conditional	<u>Cf Section for Natural Persons Fields Error</u> <u>ManagementCf Section for Physical Persons Fields Error <u>Management</u>.</u>
Surname	Alphanumerical	Full Surname of the natural person.	Latin alphabet (A-Z), Cyrillic alphabet (A- \Re), Greek alphabet (A- Ω), diacritical characters and the following non- alphanumerical characters: comma ",", space " ", apostrophe "'", minus "-", dash "-".	Conditional	<u>Cf Section for Natural Persons Fields Error</u> <u>ManagementCf Section for Physical Persons Fields Error <u>Management</u>.</u>
DateOfBirth	Date	Birth Date of the client natural person.	Format: YYYY-MM-DD	Conditional	<u>Cf Section for Natural Persons Fields Error</u> <u>Management</u> Cf <u>Section for Physical Persons Fields Error</u> <u>Management</u> .
LongCode2	Alphanumerical	Long code that will be populated for the purposes of MiFID Order Record Keeping (RTS 24).	Alphanumerical values with maximum length of: - 35 when cCodeType = 2, 3, 5 - 50 when cCodeType = 4, 6 - 20 when cCodeType = 1, 7	Conditional	<u>Cf Section for Natural Persons Fields Error</u> <u>ManagementCf Section for Physical Persons Fields Error</u> <u>Management</u> .

Short and Long Code Mapping submission

Field name	Format	Description	Values	Mandatory	Rejections cases
FirstName2		Full first name of the natural person. (for joint account)	Latin alphabet (A-Z), Cyrillic alphabet (A-Я), Greek alphabet (A-Ω), diacritical characters and the following non- alphanumerical characters: comma ",", space " ", apostrophe "'", minus "-", dash "-".	Conditional	<u>Cf Section for Natural Persons Fields Error</u> <u>ManagementCf Section for Physical Persons Fields Error <u>Management</u>.</u>
Surname2		Full Surname of the natural person. (for joint account)	Latin alphabet (A-Z), Cyrillic alphabet (A- \Re), Greek alphabet (A- Ω), diacritical characters and the following non- alphanumerical characters: comma ",", space " ", apostrophe "'", minus "-", dash "-".	Conditional	<u>Cf Section for Natural Persons Fields Error</u> <u>ManagementCf Section for Physical Persons Fields Error <u>Management</u>.</u>
DateOfBirth2	Date	Birth Date of the client natural person. (for joint account)	Format: YYYY-MM-DD	Conditional	<u>Cf Section for Natural Persons Fields Error</u> <u>ManagementCf Section for Physical Persons Fields Error <u>Management</u>.</u>

Examples:

ActionType;ShortCode;LongCode;CodeType;EffectiveDate

1;-12977;LONGCODE6919;7;2023-05-10

ActionType;ShortCode;LongCode;CodeType;EffectiveDate;FirstName;Surname;DateOfBirth

1;-12977;LONGCODE6919;2;2023-05-10;JEAN;DUPOND;1980-05-05

ActionType;ShortCode;LongCode;CodeType;EffectiveDate;FirstName;Surname;DateOfBirth;LongCode2;FirstName2;Surname2;DateOfBirth2

1;-12977;FR19800505JEAN#DUPON;2;2023-05-10;JEAN;DUPOND;1980-05-05;FR19850505JEANNDUPON;JEANNE;DUPOND;1985-05-05

5.1.2 Upload & API Fields Name

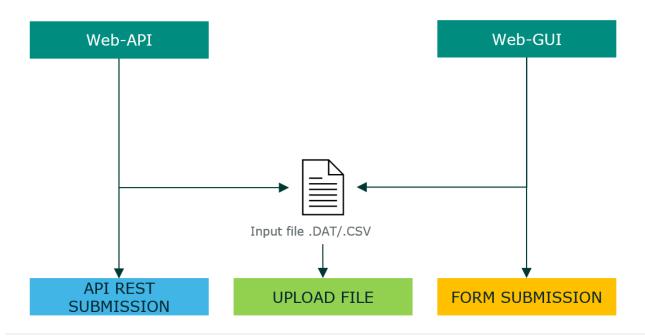
Short Long Code Mappings, submitted either via Upload File or Web-API, must be named as per below:

Field Name	Upload File Name - DAT	Upload File Name - CSV	API Field Name
Short Code	sShortCode	ShortCode	shortcode
Long Code	sLongCode	LongCode	longcode
Code Type	cCodeType	CodeType	codetype
Market Type	N/A	N/A	markettype
Effective Date	dEffectiveDate	EffectiveDate	effectivedate
End Date	dEndDate	N/A	enddate
Action Type	N/A	ActionType	N/A
First Name	First	Name	firstname
Surname	Surn	ame	surname
Date of Birth	DateC	DfBirth	dateofbirth
Long Code 2	Long	Code2	longcode2
First Name 2	FirstN	lame2	firstname2
Surname 2	Surna	ame2	surname2
Date of Birth 2	DateO	fBirth2	dateofbirth2

5.2 DATA SUBMISSION

For purposes of mapping short codes and long codes, the SLC Manager allows for three data submission functionalities:

- Web-GUI
 - File upload via SLC Manager GUI (.dat or .csv);
 - Form Submission via SLC Manager GUI;
- Web-API
 - File upload via API REST (.dat or .csv);
 - SLC Mapping Submission, Amendment or Cancellation via API REST.



The following section describes the procedures for each of the data submission functionalities.

The Section Examples allows the Members to understand how to report SLC Mapping into the SLC Manager.

5.2.1 File Upload via Web-GUI

The following section introduces the file upload possibilities via the SLC Manager GUI.

To upload a new mapping file via the SLC Manager GUI, Members are required to:

- Complete the mapping file according to the data structure and validation rules outlined in this section of this-the document;
- Save the mapping file according to the file format and naming convention outlined in this <u>section</u> of <u>this-the</u> document;
- 3) Connect to SLC Manager (-see the <u>section</u> "Logging- in") and go to the "Upload file" screen;
- 4) Browse to the local .dat file and select it via "Choose" button;
- 5) Click theo "Upload" button to send the mapping file to the Euronext server or -"Cancel" to exit the action.

After mapping file uploading the mapping file, the SLC Manager offers a range of data management functions:

Icon	Action	Result
 ✓ ✓ ✓ ✓ ✓ ✓ 	View status	Display the upload status by clicking on the "Status" icon. In case of <u>an</u> unsuccessful upload all errors are displayed. In case of success- the message "Succeed to insert, X -of lines"
(1)	View List of files uploaded to SLC Manager	Display the list of short and long code mappings uploaded in the SLC Manager tab.
3	Refresh	Update the display of the uploaded files

Uploaded data <u>are is</u> checked against the data structure and validation rules set out in this <u>section</u> of this <u>the</u> document instantly. Successfully uploaded files are displayed in the upload file screen with the "success" icon \heartsuit .

In case of unsuccessful upload, the SLC Manager will display the uploaded file with the "error" icon

In case of <u>a</u> successful upload with errors in the content of the file, the SLC Manager will display the uploaded file with the "icon Δ " and the error list under the concerned file name.

TATUS	NAME	SIZE	UPLOADED DATE
\bigcirc	ShortLongCodes_20170814_12345678_1_01.dat	154 B	2017-00-12 00:43:47
0	ShortLongCodes_20170814_12345678_1_01.dat	154 B	2017-08-31 14:47:47
0	ShortLongCodes_20170814_12345678_1_01.dat	154 B	2017-08-30 18:43:48
0	ShortLongCodes_20170814_12345678_1_01.dat	154 B	2017-08-29 18:04:09
	ShortLongCodes_20170728_12345678_1_01.dat	535 B	2017-08-28 16:11:47
	Unknown fields: AAsShortCodeAA,BGsLongCodeDF,DRcCode	TypeML,PLOdEffectiv	veDateOKLA,QKMOdEndDateMKOO.
8	ShortLongCodes_20170728_12345678_1_01.dat	535 B	2017-08-28 16:08:48
0	ShortLongCodes_20170728_12345678_1_01.dat	535 B	2017-08-28 16:08:47
0	ShortLongCodes_20170803_12345678_2_05.dat	249 B	2017-08-28 15:43:08

To correct an unsuccessful upload, correct the error displayed in the error table. When corrected, upload the file with an amended sequence number via the same upload procedure.

5.2.2 Form <u>s</u>-ubmission via Web-GUI

In addition to a file upload, the SLC Manager GUI allows the user to enter or update the short-code and long_code_mapping via Web Form. The following section introduces how to enter and manage data directly into the SLC Manager GUI.

To enter short and long code mapping directly into the SLC Manager GUI, access the SLC Dashboard and click the icon for -"Mapping file details", which displays all submitted mapping files. The mapping file details allows for the following available actions:

Icon	Action	Outcome	
C	Refresh	Update the display, transmit all recent data uploads.	
ආ	Create by copy the line		
	Export data	Export selected lines in .dat format.	
		Following columns are included in the download file-:	
x		Record date, short code, long code, code type, market identifier, effective date, end date	
8	Cancel the line	Cancel the selected lines	
Ľ	Edit	Open the overlay with all fields in mode edition of selected line. If more than one line is selected, the an error message is displayed.	
Ð	Add new line	Open the overlay with a new line form <u>.</u>	

To enter a new short and long code mapping directly into the SLC Manager, click "Add new line" and submit the relevant data adhering to the data structure and validation rules outlined in this <u>section</u> of this document.

5.2.1 File Upload via Web-API

The following section describes the fundamentals of the SLC Manager API, the REST compliant interface to publish (POST), amend (PUT), obtain (GET) or delete (POST) the short and long code mapping by upload file (.dat or .csv).

All files submitted through the API must adhere to the data structure requirements outlined in this <u>section</u> of this document.

Please refer to <u>section 6</u> and <u>section 7</u> of this document.

The Upload function must be used by client to upload a file in .dat or .csv formats.



Please note that .dat file will be depreciated and replaced by .csv file. Please refer to this section for CSV file specifications.

5.2.1.1 Function

Function name:uploadRequest EndPoint:-/SaturnWebServices/rest/files/uploadMethod:POST

5.2.1.2 Request Body

This endpoint accepts a request with below parameters:

Name	Description	Mandatory
Header: Content-Type	The Content-Type indicates to which format the filter is set: Content-Type: multipart/form-data	Yes
Header: Accept	The Accept indicates which format the result will be returned: application/json or Accept: application/xml. If the Accept is not set up, the result is returned in json format	Νο
Parameter	Uses @QueryParam defined as: offset= a &limit= b which a, b are the values - offset is the position of the result query (page number) - limit is the number of the recorded max per page	Νο
Body-: File information	File to be uploaded and file type. File type must be part of below list: application/csv application/x-csv text/csv text/comma-separated-values text/x-comma-separated-values text/tab-separated-values text/plain application/vnd.ms-excel text/x-csv application/octet-stream application/dat	Yes

5.2.1.3 Expected file contents

File: .dat or .csv

5.2.1.1 Response

The response is a structured object containing the total number of available items and the list itself.

The response 200 indicates <u>a</u> successful upload. The response 600 indicates <u>an</u> unsuccessful upload. Other responses, such as 4xx and 5xx, indicate that the item was not updated in the registry.

Code	Status Code OK, KO
Data	Contains the result of the service.
Msg	Success or fail.

RecordCount

The max record available in the data base.

5.2.1.2 Examples

a) curl Request – CSV file

curl -X POST -H "Content-Type: multipart/form-data" -H "Authorization: Bearer [token]" -F "<u>data=@./ShortLongCodes 20170601 00014956 1 01.csv;type=application/csv</u>" <u>https://saturn-api-prod.euronext.com/SaturnWebServices/rest/files/upload</u>

b) Response – CSV file

```
"code":200,
"data":[
    {
        "type":"uploadedFileStatus",
        "fileName":" ShortLongCodes_20170601_00014956_1_01.csv",
        "size":6144,
        "status":"W",
        "tid":1022,
        "uploadedDate":"2023-06-07T12:54:25"
     }
],
"msg":"OK",
"recordCount":1
}
```

c) curl Request – DAT file

curl -X POST -H "Content-Type: multipart/form-data" -H "Authorization: Bearer [token]" -F "<u>data=@./ShortLongCodes 20170601 00014956 1 01.dat;type=application/dat</u>" <u>https://saturn-api-prod.euronext.com/SaturnWebServices/rest/files/upload</u>

d) Response - DAT file

```
{
    "code":200,
    "data":[
        {
            "type":"uploadedFileStatus",
            "fileName":" ShortLongCodes_20170601_00014956_1_01.dat",
            "size":6144,
            "status":"W",
            "tid":1022,
            "uploadedDate":"2023-06-07T12:54:25"
        }
    ],
    "msg":"OK. DAT file will soon be depreciated and replaced by CSV file",
        "recordCount":1
}
```

An informative message when uploading DAT files will be returned to clients in order to invite them to migrate to CSV file format.

5.2.2 Get Uploaded Files via Web-API

This function returns the list of error messages at a SLC Mapping level after following the upload of a dat/csv file. It also gives the possibility to filter results.

5.2.2.1 Function

Function name:	GetUploadFiles
Request EndPoint:	/SaturnWebServices/rest/files/getuploadedfiles
Method:	POST

5.2.2.2 Request Body

This endpoint accepts a request with below parameters:

Name	Description	Mandatory
Header: Content-Type	The Content-Type indicates to which format the filter is set: Content-Type: application/json or Content-Type: application/xml	Yes
Header: Accept	The Accept indicates which format the result will be returned: application/json or Accept: application/xml. If the Accept is not set up, the result is returned in json format	Νο
Parameter	Uses @QueryParam defined as: offset= a &limit= b which a, b are the values - offset is the position of the result query (page number) - limit is the number of the recorded max per page	Νο
Body : FilterList	Sequence of FilterList. Detail of request.	Yes

Sequence of FilterList

This endpoint accepts a request with below parameters:

Name	Description	Mandatory
Name	Type of request: tid (treatment ID), status,	Yes
Value	status of file.	Yes
Operator	EQ, NEQ, GT, GE, LT, LE	Yes
Туре	Type of request	No
Sort	DESC or ASC (descending or ascending)	No

5.2.2.3 Response

The response is a structured object containing the total number of available items and the list itself.

The response 200 indicates <u>a</u> successful response. The response 600 indicates <u>an</u> unsuccessful response. Other responses, such as 4xx and 5xx, indicate that the item was not updated in the registry.

Code	Status Code OK, KO
Data	Contains the result of the service.
Msg	Success or fail.
RecordCount	The max record available in the data base.

5.2.2.4 Examples

a) curl Request

```
curl -i -X POST -H "Authorization: Bearer [TOKEN]" -H "Content-Type: application/json" -d

`{"filterList": [{"name": "fileName", "value": "ShortLongCodes_20170601_00014956_1_01.csv",

"operator": "EQ"}]}' <u>https://saturn-api-</u>

prod.euronext.com/SaturnWebServices/rest/files/getuploadedfiles
```

b) Response

```
{
    "code":200,
    "data":[
        {
            "type":"uploadedFileStatus",
            "fileName":" ShortLongCodes_20170601_00014956_1_01.csv",
            "size":6144,
            "status":"O",
            "tid":1022,
            "uploadedDate":"2023-06-07T12:54:25"
        }
    ],
    "msg":"OK",
    "recordCount":1
}
```

5.2.3 Create SLC Mapping via Web-API

This function should be used by the member to create one SLC Mapping into Saturn. The **Create** function is designed for Web-API.

5.2.3.1 Function

Function name:createRequest EndPoint:SaturnWebServices/rest/v2/shortcodeLongcodeResource/createMethod:POST

5.2.3.2 Request Body

This endpoint accepts a request with below parameters:

Name	Description	Mandatory
Header: Content-Type	The Content-Type indicates to which format the filter is set: Content-Type: application/json or Content-Type: application/xml	Yes
Header: Accept	The Accept indicates which format the result will be returned: application/json or Accept: application/xml. If the Accept is not set up, the result is returned in json format	Νο
Body : SubmissionForm	Detail of submission request, with the needed fields.	Yes

5.2.3.3 Response

The response is a structured object containing the submission result.

The response 200 indicates <u>a</u> successful response. The response 600 indicates <u>an</u> unsuccessful response. Other responses, such as 4xx and 5xx, indicate that the item was not updated in the registry.

Code	Status Code OK, KO
Data	Contains the result of the service.
Msg	Success or fail.
RecordCount	The max record available in the data base.

5.2.3.4 Examples

a) curl Request

```
curl -i -X POST -H "Authorization: Bearer [TOKEN]" -H "Content-Type: application/json" -d

`{"shortcode": 130042418, "longcode": "D94OGNU6R", "codetype": 4, "markettype": 1,

"effectivedate": "2023-06-01"}' <u>https://saturn-api-</u>

prod.euronext.com/SaturnWebServices/rest/v2/shortcodeLongcodeResource/create
```

b) Response

```
{
    "code": 200,
    "msg": "success",
    "recordCount": 0,
    "data": [
        "ShortcodeLongcode added {130042418} "
    ]
}
```

5.2.4 Amend SLC Mapping via Web-API

This function should be used by the member to amend one SLC Mapping into Saturn. The **Amend** function is designed for Web-API.

5.2.4.1 Function

Function name:amendRequest EndPoint:SaturnWebServices/rest/v2/shortcodeLongcodeResource/amendMethod:PUT

5.2.4.2 Request Body

This endpoint accepts a request with below parameters:

Name	Description	Mandatory
Header: Content-Type	The Content-Type indicates to which format the filter is set: Content-Type: application/json or Content-Type: application/xml	Yes
Header: Accept	The Accept indicates which format the result will be returned: application/json or Accept: application/xml. If the Accept is not set up, the result is returned in json format	Νο
Body : SubmissionForm	Detail of submission request, with the needed fields.	Yes

5.2.4.3 Response

The response is a structured object containing the submission result.

The response 200 indicates <u>a</u> successful response. The response 600 indicates <u>an</u> unsuccessful response. Other responses, such as 4xx and 5xx, indicate that the item was not updated in the registry.

Code	Status Code OK, KO
Data	Contains the result of the service.
Msg	Success or fail.
RecordCount	The max record available in the data base.

5.2.4.4 Examples

a) curl Request

```
curl -i -X PUT -H "Authorization: Bearer [TOKEN]" -H "Content-Type: application/json" -d

`{"shortcode": 130042418, "longcode": "D94OGNU6R", "codetype": 4, "markettype": 1,

"effectivedate": "2025-06-01"}' <u>https://saturn-api-</u>

prod.euronext.com/SaturnWebServices/rest/v2/shortcodeLongcodeResource/amend
```

b) Response

```
"code": 200,
"msg": "success",
"recordCount": 0,
"data": [
    "ShortcodeLongcode updated {130042418} "
]
}
```

5.2.5 Cancel SLC Mapping via Web-API

This function should be used by the member to cancel one SLC Mapping into Saturn. The **Cancel** function is designed for Web-API.

5.2.5.1 Function

Function name:amendcancelRequest EndPoint:SaturnWebServices/rest/v2/shortcodeLongcodeResource/cancelMethod:POST

5.2.5.2 Request Body

This endpoint accepts a request with below parameters:

Name	Description	Mandatory
Header: Content-Type	The Content-Type indicates to which format the filter is set: Content-Type: application/json or Content-Type: application/xml	Yes
Header: Accept	The Accept indicates which format the result will be returned: application/json or Accept: application/xml. If the Accept is not set up, the result is returned in json format	Νο
Body : SubmissionForm	Detail of submission request, with the needed fields.	Yes

5.2.5.3 Response

The response is a structured object containing the submission result.

The response 200 indicates <u>a</u> successful response. The response 600 indicates <u>an</u> unsuccessful response. Other responses, such as 4xx and 5xx, indicate that the item was not updated in the registry.

Code	Status Code OK, KO
Data	Contains the result of the service.
Msg	Success or fail.
RecordCount	The max record available in the data base.

5.2.5.4 Examples

a) curl Request

```
curl -i -X POST -H "Authorization: Bearer [TOKEN]" -H "Content-Type: application/json" -d 

`{"shortcode": 130042418, "longcode": "D94OGNU6R", "codetype": 4, "markettype": 1}'

<u>https://saturn-api-</u>

<u>prod.euronext.com/SaturnWebServices/rest/v2/shortcodeLongcodeResource/cancel</u>
```

b) Response

```
{
    "code": 200,
    "msg": "success",
    "recordCount": 0,
    "data": [
        "ShortcodeLongcode canceled {130042418} "
]
}
```

5.2.6 Get SLC Mapping via Web-API

This function returns the list of SLC Mapping submitted into the application. It also gives the possibility to filter results.

5.2.6.1 Function

Function name:getRequest EndPoint:/SaturnWebServices/rest/v2/shortcodeLongcodeResource/getMethod:POST

5.2.6.2 Request Body

This endpoint accepts a request with below parameters:

Name	Description	Mandatory
Header: Content-Type	The Content-Type indicates to which format the filter is set: Content-Type: application/json or Content-Type: application/xml	Yes
Header: Accept	The Accept indicates which format the result will be returned: application/json or Accept: application/xml. If the Accept is not set up, the result is returned in json format	Νο
Parameter	Uses @QueryParam defined as: offset= a &limit= b which a, b are the values - offset is the position of the result query (page number) - limit is the number of the recorded max per page	Νο
Body : FilterList	Sequence of FilterList. Detail of request.	Yes

Sequence of FilterList

This endpoint accepts a request with below parameters:

Name	Description	Mandatory
Name	Type of request: tid (treatment ID), status,	Yes
Value	status of file.	Yes
Operator	EQ, NEQ, GT, GE, LT, LE	Yes
Туре	Type of request	No
Sort	DESC or ASC (descending or ascending)	No

5.2.6.3 Response

The response is a structured object containing the total number of available items and the list itself.

The response 200 indicates <u>a</u> successful response. The response 600 indicates <u>an</u> unsuccessful response. Other responses, such as 4xx and 5xx, indicate that the item was not updated in the registry.

Code	Status Code OK, KO
Data	Contains the result of the service.
Msg	Success or fail.
RecordCount	The max record available in the data base.

5.2.6.4 Examples

a) curl Request

curl -i -X POST -H "Authorization: Bearer [TOKEN]" -H "Content-Type: application/json" -d `{"filterList": [{"name": "shortcode", "value": 1132117, "operator": "EQ"}]}' <u>https://saturn-api-</u> prod.euronext.com/SaturnWebServices/rest/v2/shortcodeLongcodeResource/get

b) Response

```
{
  "code": 200,
  "msg": "success",
  "recordCount": 1,
  "data": [
     {
        "tid": 9552121492773,
        "participanttid": 385,
        "shortcode": 1132117,
        "longcode": "K5FZPH7MM",
        "codetype": 6,
        "markettype": 2,
        "effectivedate": 1758542400000,
        "enddate": null,
        "status": 3,
        "errors": null,
        "saturnuser": "apiUser1",
        "userfiletid": null,
        "tsreceive": 1686240777000,
```

"firstname": null,
 "surname": null,
 "dateofbirth": null,
 "longcode2": null,
 "firstname2": null,
 "surname2": null,
 "dateofbirth2": null,
 }
]

5.3 DATA MANAGEMENT RULES

5.3.1 SLC Mapping for Physical Natural Persons – Non-MiFID Members only

When submitting Short Long Code mapping for a "natural person" (a physical person), below fields are required for **non-MIFID Members**:

- ShortCode
- LongCode
- First name
- Surname
- Date of birth

- Long Code 2 (joint account)
- First name 2 (for joint account)
- Surname 2 (for joint account)
- Date of birth 2 (for joint account)

It will allow a correct reconciliation between transactions done on Euronext markets and the SLC Mapping provided by <u>our</u>-non-MiFID Members. Above <u>physical_natural_person</u> information will be captured automatically <u>and added</u> at the transaction level (Buyer and Seller information).

Note that there-it will be also be possible to declare 2 physical natural persons for the same transactions, in case of joint account.

5.3.2 SLC Mapping new features

In order to To facilitate SLC Mapping management, additional features were added:

- File format for SLC Mapping submission will move from .dat to .csv. To do so, we <u>Euronext</u> strongly advices to move forward on .csv file integration as specified in <u>above</u> section.
- **A new field will be added to identify the action performed by the client.** (New, Amend or Cancel) This will allow an easier management of SLC Mapping on both Members & Saturn sides.
- The field **"EndDate" will be removed from the Upload files and User Interface. Saturn will compute it automatically based on client's inputs.** This will allow <u>for</u> an easier management of SLC Mapping on both Members & Saturn sides.

5.3.3 Long Code Error Management & Regular Expressions

When entering a Short Long Code mapping within SATURN (either via File Upload-GUI, Form-GUI or API), some errors will be raised if the Long Code does not meet the format requirements defined <u>above</u>. The table below lists the error messages in case of rejection:

Identification Code	Code Types to check	Rejection cases	Error Code	Error Message to send/display
		Missing Value	1001	[slongcode][1001] Missing
LET	LEI 1, 7	Wrong Length	1020	[slongcode][1020] Wrong length for LEI (must be exactly equal to 20 characters)
	1, /	Invalid Format	1023	[slongcode][1023] Invalid characters (the LEI must only contain numeric and/or capitalized alphabetic characters)
National ID		Missing Value	1001	[slongcode][1001] Missing
(NIDN)	2, 3, 5	Wrong Length	1021	[slongcode][1021] Wrong length for National ID (NIDN/CCPT: 3 to 35 characters CONCAT: exactly 20 characters)
Passport Number (CCPT)	2, 3, 5	Invalid Format	1024	[slongcode][1024] Invalid characters (NIDN/CCPT: Capitalized Alphanumeric characters, + and – allowed for Finland, only – allowed for Latvia CONCAT: please refer to the ESMA specification for more details)
CONCAT				Teler to the ESMA specification for more details?
		Missing Value	1001	[slongcode][1001] Missing
Algorithm	4, 6	Wrong Length	1022	[slongcode][1022] Wrong Length for Algorithm Identification Code (must be less than or equal to 50)
		Invalid Format	1025	[slongcode][1025] Invalid characters (the Algorithm Identification Code must only contain numeric and/or capitalized alphabetic characters)

Please find below regular expression for each Long Code type:

Types	Regular expression
LEI	[A-Z0-9]{18,18}[0-9]{2,2}
National ID	(([A-Z]{2,2}[A-Z0-9]{1,33}))([A-Z]{2,2}[0-9]{8}[A-Z]{1}[AZ#]{ 4}[A-Z]{1}[A-Z#]{4}))
MIC	[A-Z0-9]{4,4}

5.3.4 Action Type Field Error Management

When entering a Short Long Code mapping within SATURN (either via File Upload-GUI, Form-GUI or API), some errors will be raised if the Action Type field is not present or is different from the authorized values. The table below lists the error messages in case of rejection:

Field Name	Presence	Format	Possible Values	Validation Rules
Action Type	Mandatory for all new SLC mapping reporting.	Integer	1 – New 2 – Amend 3 – Cancel	If Action Type is NULL Then, Raise Error : [ActionType][1001] Missing If Action Type ≠ (1, 2, 3) Then, Raise Error : [ActionType][1005] Invalid format

5.3.5 Physical Natural Persons fields Definition & Error Management

When entering a Short Long Code mapping within SATURN (either via File Upload-GUI, Form-GUI or API), some errors will be raised if <u>Physical Natural</u> Persons fields does not meet the format requirements defined <u>above</u>. The table below lists the error messages in case of rejection:

Field Name	Presence	Format	Possible Values	Validation Rules
Long Code 2	Optional only if Participant is Non-MiFID AND CodeType = 2 (Client identification as a National ID)	Alphanumeric (50 characters)	NATIONAL_ID	If CodeType ≠ 2 (Client identification as a National ID) AND Long Code 2 is NOT NULL Then, Raise Error : [LongCode2][1002] Please do not fill, unexpected value If CodeType = 2 (Client identification as a National ID) AND Long Code 2 is NULL AND (First Name 2 ≠ NULL OR Surname 2 ≠ NULL OR Date of Birth 2 ≠ NULL) Then, Raise Error : [LongCode2][1001] Missing If Long Code 2 ≠ ((FI[A-Z0-9\\-\+]{1,33}) (LV[A-Z0-9\\-]{1,33}) ([A-Z]{2}((18 19 20)(0[1- 9] 1[012])(0[1-9] [12][0-9] 3[01]))[A-Z][A-Z#]{4}[A-Z][A-Z#]{4}) ([A-Z]{2}[A-Z0-9]{1,33})) Then, Raise Error : [LongCode2][1024] Invalid characters (NIDN/CCPT: Capitalized Alphanumeric characters, + and allowed for Finland, only allowed for Latvia CONCAT: please refer to the ESMA specification for more details)

Short and Long Code Mapping submission

Field Name	Presence	Format	Possible Values	Validation Rules
Surname	Mandatory only if Participant is Non-MiFID AND CodeType = 2 (Client identification as a National ID)	Alphanumerical (140 characters)	Latin alphabet (A-Z), Cyrillic alphabet (A- Я), Greek alphabet (A- Ω), diacritical characters and the following non- alphanumerica I characters: comma ""_", space ""_", apostrophe "".", dash ", ", dash ",	If CodeType = 2 (Client identification as a National ID) AND PARTICIPANT is NON-MiFID AND Surname is NULL Then, Raise Error : [Surname][1001] Missing If CodeType ≠ 2 (Client identification as a National ID) AND Surname is NOT NULL Then, Raise Error : [Surname][1002] Please do not fill, unexpected value If Surname ≠ ([A- Z] [ÄÀÁÂÂĂĂĂĂĂĂĂĂĂĂŒĢĊĈĊĎÐĐÈÉÊËĚĘĜĢĞĤÌÍÎÏĴĶĹĻŁĽÑŃŇÖÒÓÔÕŐØŒŔŘߌŜŞŠŠŤŢÞŢÜÙÚÛŰŨŲŮŴÝ ŸŶŹŽŻ] , └]- -){1,140} Then, Raise Error : [Surname][1005] Invalid format
Surname 2	Optional only if Participant is Non-MiFID AND CodeType = 2 (Client identification as a National ID)	Alphanumerical (140 characters)	Latin alphabet (A-Z), Cyrillic alphabet (A- Я), Greek alphabet (A- Ω), diacritical characters and the following non- alphanumerica I characters: comma "", "", space ", "", apostrophe "", "", minus	If CodeType ≠ 2 (Client identification as a National ID) AND Surname 2 is NOT NULL Then, Raise Error : [Surname2][1002] Please do not fill, unexpected value If CodeType = 2 (Client identification as a National ID) AND Surname 2 is NULL AND (Long Code 2 ≠ NULL OR First Name 2 ≠ NULL OR Date of Birth 2 ≠ NULL) Then, Raise Error : [Surname2][1001] Missing If Surname 2 ≠ ([A- Z]][[ÄÀÁÂÂĂĂĂĂĂĂĂĂĂĂĂČĊĊĊĎÐĐĖÉÊËĚĘĜĢĞĤÌÍĴIŠĶĹĻŁĽÑŃŇÖÒÓÔÕŐØŒŔŘߌŜŞŠŠŤŢÞŢÜÙÚÛŰŨŲŮŴÝ ŸŶŹŽŻ]], -'-)-{1,140} Then, Raise Error : [Surname2][1005] Invalid format
Date of Birth	Mandatory only if Participant is Non-MiFID AND CodeType = 2 (Client identification	DATE	YYYY-MM-DD (Y: Year; M: Month; D: Date)	If CodeType = 2 (Client identification as a National ID) AND PARTICIPANT is NON-MiFID AND Date of Birth is NULL Then, Raise Error : [DateOfBirth][1001] Missing If CodeType ≠ 2 (Client identification as a National ID) AND Date of Birth is NOT NULL Then, Raise Error : [DateOfBirth][1002] Please do not fill, unexpected value If Date of Birth ≠ YYYY-MM-DD OR is not a date format Then, Raise Error : [DateOfBirth][1005] Invalid format

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Short and Long Code Mapping submission

Field Name	Presence	Format	Possible Values	Validation Rules
	as a National ID)			
Date of Birth 2	Optional only if Participant is Non-MiFID AND CodeType = 2 (Client identification as a National ID)	DATE	YYYY-MM-DD (Y: Year; M: Month; D: Date)	If CodeType ≠ 2 (Client identification as a National ID) AND Date of Birth 2 is NOT NULL Then, Raise Error : [DateOfBirth2][1002] Please do not fill, unexpected value If CodeType = 2 (Client identification as a National ID) AND Date of Birth 2 is NULL AND (Long Code 2 ≠ NULL OR First Name 2 ≠ NULL OR Surname 2 ≠ NULL) Then, Raise Error : [DateOfBirth2][1001] Missing If Date of Birth 2 ≠ YYYY-MM-DD OR is not a date format Then, Raise Error : [DateOfBirth2][1005] Invalid format
First Name	Mandatory only if Participant is Non-MiFID AND CodeType = 2 (Client identification as a National	Alphanumerical (140 characters)	Latin alphabet (A-Z), Cyrillic alphabet (A- β), Greek alphabet (A- Ω), diacritical characters and the following non- alphanumerica I characters: comma "","",	If CodeType = 2 (Client identification as a National ID) AND PARTICIPANT is NON-MiFID AND First Name is NULL Then, Raise Error : [FirstName][1001] Missing If CodeType ≠ 2 (Client identification as a National ID) AND First Name is NOT NULL Then, Raise Error : [FirstName][1002] Please do not fill, unexpected value
	ID)		space "_ "_, apostrophe "`_'!", minus "`", dash "`- ".	If First Name ≠ ([A- Z] [ÄÀÁÂÃĂĂĄĂÆÇĆĈČĎÐĐÈÉÊËĚĘĜĢĞĤÌÍÎÏĴĶĹĻŁĽÑŃŇÖÒÓÔÕŐØŒŔŘߌŜŞŠŞŤŢÞŢÜÙÚÛŰŨŲŮŴÝ ŸŶŹŽŻ] , -'_ - -){1,140} Then, Raise Error : [FirstName][1005] Invalid format
First Name 2	Optional only if Participant is Non-MiFID AND CodeType = 2 (Client identification as a National	Alphanumerical (140 characters)	Latin alphabet (A-Z), Cyrillic alphabet (A- \Re), Greek alphabet (A- Ω), diacritical characters and the following	If CodeType ≠ 2 (Client identification as a National ID) AND First Name 2 ≠ NULL Then, Raise Error : [FirstName2][1002] Please do not fill, unexpected value If CodeType = 2 (Client identification as a National ID) AND First Name 2 is NULL AND (Long Code 2 ≠ NULL OR Surname 2 ≠ NULL OR Date of Birth 2 ≠ NULL) Then, Raise Error : [FirstName2][1001] Missing
	ID)		non- alphanumerica I characters:	If First Name 2 ≠ ([A- Z] [ÄÀÁÂÃÅĂĄĂÆÇĆĈČĎÐĐÈÉÊËĚĘĜĢĞĤÌÍÎÏĴĶĹĻŁĽÑŃŇÖÒÓÔÕŐØŒŔŘߌŜŞŠŞŤŢÞŢÜÙÚŰŰŲŮŴÝ

Short and Long Code Mapping submission

Field Name	Presence	Format	Possible Values	Validation Rules
			comma "", "", space "", "", apostrophe "", minus "", dash ",	ŸŶŹŽŻ] , <u>-'</u> - -){1,140} Then, Raise Error : [FirstName2][1005] Invalid format

5.3.6 Maximum file size

The maximum size of a single mapping file is 10 MB. Mapping files larger than 10 MB <u>have to be split to</u> <u>reduce file size and are-have</u> to be uploaded separately, with an independent sequence number. It is possible to upload up to 5 (five) files simultaneously.

5.3.1 Frequency and timing

The submission of a short and long code mapping is only required if there are changes to existing mapping data or if short codes have been used in order entry that have not previously been declared.

SLC Manager is available Monday - Friday between 06:30 am and 10:30 pm CET/CEST. Members are required to upload the file via the SLC manager via GUI or API REST before 10:30 pm CET/CEST on the <u>next</u> day of trading, <u>at maximum</u>. (T+1)

5.3.1 Updating an existing short code

Users of SLC Manager can manage existing short codes by either uploading a new .dat/.csv file (via GUI or API REST) or amending the information directly into the SLC Manager GUI. To update an existing short code, users may submit the existing short code with:

- Action Type field set to 2- Amend or use the "Amend" service (via Web-API)
- Updated information, using an EffectiveDate greater or equal than short code's current EffectiveDate.

Examples are available in the section <u>Amend SLC Mapping</u>.

i Note

Please note that, in case of an error in the SLC Mapping update, a cancellation must be done. More information are available <u>here</u>.

5.3.1 Cancelling an existing short code

To cancel a Short Long Code mapping, users have to resend the same ShortCode/CodeType/MarketType with Action Type field set to **3- Cancel** or use the "**Cancel**" service (via Web-API)

This will result ion EndDate being setup to the Current Date, or to a Short Long Code mapping deletion if the mapping is set in the future.

Examples are available in the section <u>Cancel SLC Mapping</u>.

6. PRE REQUISTIE BEFORE USING API

6.1 ENGLISH SCREENSHOTS

Before using SATURN API REST you have to check that your connection is made with a valid intermediate certificate.

Please follow the different steps below so that The connection is fully established.

- Open your Internet Explorer navigator
- Go to Internet Options
- Click on 'Content' Tab
- Click on 'Certificates' button

General	Security	Privacy	Content	Connections	Programs	Advanced
Certific		n ertificates fo	or encryp	oted connectio	ons and iden	tification.
	Clear SSL	. state	<u>C</u> ert	tificates	Pu <u>b</u> lis	shers
AutoCo	mplete —	1			- # - L	
		bpages and		vious entries ts matches	Sett	ings
Feeds a	and Web S					
13	d update can be	and Web S ed content f e read in In programs.	from wel	osites that	Sett	<u>119</u> 3
0 s	Some <u>setti</u>	<u>ngs</u> are ma	naged by	y your system	administrat	or.

In the pop-in 'certificate' go to 'Intermediate Certification Authorities' and please check that you have the intermediate certificates Digicert:

ersonal Other People	Intermediate Certifica	ation Authori	ties Trusted Root	Certification
Issued To	Issued By	Expirati	Friendly Name	
www.verisign.c	Class 3 Public Pri	25/10/2	<none></none>	
VeriSign Class 3	VeriSign Class 3 P	08/02/2	<none></none>	
Root Agency	Root Agency	01/01/2	<none></none>	
ad-PB2OACER0	oad-PB2OACER01	29/01/2	<none></none>	
Microsoft Windo	Microsoft Root Aut	31/12/2	<none></none>	
Entrust Certifica	Entrust Root Certif	05/12/2	<none></none>	
🔄 DigiCert SHA2 H	DigiCert High Assu	22/10/2	<none></none>	
🗐 DigiCert SHA2 A	DigiCert Assured I	22/10/2	<none></none>	
1 .				
Import Expor]		<u>A</u> dvanced
ertificate intended purp	DOSES			
				∐iew

If any it is necessary to install them with executing the file attached "Certifdigicertclient.pfx" in this document, first click on 'Next':

Certificate Import Wizard	×
	Welcome to the Certificate Import Wizard This wizard helps you copy certificates, certificate trust lists, and certificate revocation lists from your disk to a certificate store. A certificate, which is issued by a certification authority, is a confirmation of your identity and contains information used to protect data or to establish secure network connections. A certificate store is the system area where certificates are kept. To continue, click Next.
	< Back Next > Cancel

Browse the file on your desktop and click on 'Next':

Pre Requistie before using API

	to Import Specify the file you want to import.
	File name:
	C:\Users\lstevanovic\AppData\Local\Temp\Certifdigicertclient.pfx Browse
	Cryptographic Message Syntax Standard- PKCS #7 Certificates (.P7B) Microsoft Serialized Certificate Store (.SST)
Leai	m more about <u>certificate file formats</u>

The password is Cer\$!IF123 click on 'Next':

	vord
Г	o maintain security, the private key was protected with a password.
Т	ype the password for the private key.
	Password:
	private key is used by an application if you enable this option. Mark this key as exportable. This will allow you to back up or transport your
	keys at a later time.
	keys at a later time.
Learn	

Tick on 'Automatically select the certificate store based on the types of certificate' and click on 'Next':

Certificate Store Certificate stores are syst	tem areas where certificates are kept.
Windows can automatical for the certificate.	lly select a certificate store, or you can specify a location
Automatically selection	t the certificate store based on the type of certificate
Place all certificates	s in the following store
Certificate store:	
	Browse
earn more about <u>certificate s</u>	stores

When the installation is finished you get the window below, click on 'Finish':

Certificate Import Wizar	d	X
	Wizard The certificate will b	the Certificate Import
	Certificate Store S Content File Name	elected Automatically determined by PFX PFX C:\Users\Istevanovic\AppDatz
	<	4
	<	Back Finish Cancel

6.1 FRENCH SCREENSHOTS

Before using SATURN API REST you have to check that your connection is made with a valid intermediate certificate.

Please follow the different steps below so that The connection is fully established.

Open your Internet Explorer navigator

Pre Requistie before using API

- Go to Internet Options
- Click on 'Contenu' Tab
- Click on 'Certificats' button

Général S	Sécurité	Confidentialite	Contenu	Connexions	Programmes	s Avancé	
Certificat	State of the second second	des certificats p Effacer l'état		ections chiffre	ées et pour l'i	dentificatio Éditeur	
Saisie ser	La saisi précéde		igu stocke l	es entrées		Paramèt	
Flux et co	Ees flux	: Web Slice et les compos: 1 mis à jour à pa t Explorer et da	artir de sites	Web, lisible di	ans	Paramèt	res
	rtains par	amètres sont g	érés par vot	e administrati	eur système.		
🕜 Ce	r tairis <u>par</u>						

In the pop-in 'Certificats' go to 'Autorités de certification intermédiaires' and please check that you have the intermediate certificates Digicert.

Saturn Short Long Code Management

ersonnel Autres personnes	Autorités de certificatio	on intermédiaire	Autorités de certi
Délivré à De	élivré par	Expiration	Nom convivial
COMODO RSA Cert A	ddTrust External CA	30/05/2020	<aucun></aucun>
COMODO RSA Dom C	OMODO RSA Certific	12/02/2029	<aucun></aucun>
DigiCert Assured ID Di	giCert Assured ID R	10/11/2031	<aucun></aucun>
🔄 DigiCert SHA2 Assu Di	giCert Assured ID R	05/11/2028	<aucun></aucun>
🔄 DigiCert SHA2 Assu Di	giCert Assured ID R	22/10/2028	<aucun></aucun>
DigiCert SHA2 High Di	giCert High Assuran	22/10/2028	<aucun></aucun>
Entrust Certificatio Er	ntrust Root Certifica	05/12/2030	<aucun></aucun>
Gandi Standard SSL U	SERTrust RSA Certifi	12/09/2024	<aucun></aucun>
GeoTrust SSL CA - G3 Ge	eoTrust Global CA	20/05/2022	<aucun></aucun>
mporter Exporter	Supprimer		Avan
savoir plus sur les certificats			Affichage

If any it is necessary to install them with executing the file attached "Certifdigicertclient", first click on 'suivant':

Bienvenue !	
de certificats de confiance et des listes de révoca	ation des
confirmation de votre identité et contient des info utilisées pour protéger vos données ou établir de connexions réseau sécurisées. Le magasin de cer	ormations s tificats
Cliquez sur Suivant pour continuer.	
(Defendant) Determine	Annuler
	Un certificat, émis par une autorité de certificatio confirmation de votre identité et contient des info utilisées pour protéger vos données ou établir de connexions réseau sécurisées. Le magasin de cer est la zone système où les certificats sont conser

Browse the file on your desktop and click on 'Suivant':

Pre Requistie before using API

ichier à importer		
Spécifiez le fichier à importer.		
Nom du fichier :		
C: \Users \ohbali \Desktop \Certifdigi	certclient.pfx	Parcourir
Remarque : des certificats peuvent	être stockés dans un fichi	er aux formats suivants :
Échange d'informations personne	elles - PKCS #12 (.PFX,.P1	2)
Standard de syntaxe de messag	e de chiffrement - Certifica	ats PKCS #7 (.P7B)
Magasin de certificats sérialisés N		
in savoir plus sur les <u>formats de fichiers.</u>	de certificats	
in savoir plus sur les <u>formats de fichiers</u>	de certificats	
în savoir plus sur les <u>formats de fichiers</u>	de certificats	

The password is Cer\$!IF123 click on 'Suivant':

	Importation de certificat		23
	e passe our maintenir la sécurité, la clé pr	rivée a été protégée avec un mot de passe.	
Er	ntrez le mot de passe de la clé pr	ivée.	
	Mot de passe :		
	•••••		
	demandée à chaque utilisati	cée de clés privées. Une confirmation vous se ion de la clé privée par une application. xportable. Cela vous permettra de sauvegare rieurement.	
	Inclure toutes les propriétés	s étendues.	
En sav	☑ Inclure toutes les propriétés oir plus sur la <u>protection des clés</u>		

Tick 'Sélectionner automatiquement le magasin de certificats selon le type de certificat' and click on 'suivant':

Pre Requistie before using API

Saturn	Short	Long	Code	Management
--------	-------	------	------	------------

tant Importation de certificat	
Magasin de certificats	
Les magasins de certificats sont d	les zones système où les certificats sont stockés.
Windows peut sélectionner autom pouvez spécifier l'emplacement du	natiquement un magasin de certificats, ou vous u certificat.
Sélectionner automatiquem	ent le magasin de certificats selon le type de certifica
Placer tous les certificats d	ans le magasin suivant
Magasin de certificats :	
	Parcourir
n savoir plus sur les <u>magasins de cert</u>	ificats
	< Précédent Suivant > Annul

When the installation is finished you get the window below, click on 'Terminer':

Fin de l'Assistant Impo certificat Ce certificat sera importé après que Terminer. Vous avez spécifié les paramètres s	vous aurez diqué sur
Magasin de certificats sélectionné Contenu Nom du fichier	Déterminé automatiqu PFX C: \Users \ohbali \Deskt
۲ (III) - III - IIII - III - IIII - III	•
< Précédent Te	rminer Annuler

7. HOW TO SET UP A TEST

This section describes step by step how to test a function in Web service.

7.1 ACCESS TO API VIA POSTMAN

The function taken in the example hereafter is AuthenticateUser. It allows a user to connect to the API. The screenshots are Software Postman examples:

Select in the combo box below the function POST (showed by the arrow in the following screenshot):

New Tab + ***							No Environn	nent	~	•	\$
GET V Enter request URL							Params	Send	~	Save	~
	re-request Script Tests										Code
Туре	No Auth		\sim								
Response											
		Hit the	Send butto	n to get a re	sponse.						
			Do more w	ith requests							
		Share	Mock	Monitor	Document						
		<		-//-							

7.2 REQUEST ENDPOINT AND FUNCTION PARAMETER

All functions in this document have to be built as detailed below:

Function:

Function name: AuthenticateUser

Request EndPoint: /SaturnWebServices/rest/Authentication/-AuthenticateUser

Method: POST

In Postman, select the method (POST) set up the URL followed by request end point:

How to set up a test

https://saturn-api-v.et × + + +++ URL request e	nd point			No Environment V 💿	⇔
POST > https://saturn-api-v.euronext-net.com/SaturnWebServices/rest/Aut				Params Send V Save Save	· .
Authorization Headers (2) Body Pre-request Script Tests				Co	ode
Key	Value		Description	••• Bulk Edit Presets	•
Authorization	Basic Ym5wMTE6ZDBiZmJIZmU3NWI4Y	zZhYzliZGEwY2NhZGNiYmExMzg=			
Content-Type	application/xml				
		on to get a response.			
	Share Mock	Monitor Document			
	<	-^			

Request Body:

This endpoint accepts a request body in one of the following formats:

- 1. application/json;
- 2. application/xml.

In the screenshot below, xml is chosen as content type:

https://saturn-api-v.et × + ***	No Environment 🗸 📀 🔅
POST V https://saturn-api-v.euronext-net.com/	nWebServices/rest/Authentication/AuthenticateUser Params Send v Save v
Authorization Headers (2) Body Pre-reques	pt Tests Code
Кеу	Value Description *** Bulk Edic Presets *
Authorization	Basic Ym5wMTE6ZD8iZmJIZmU3NWI4YzZhYaliZGEwY2NhZGNIYmExMzg=
Content-Type New key	Application/xml Description
lesponse	
	Hit the Send button to get a response.
	Do more with requests
	Share Mock Monitor Document
	<

7.3 USERNAME AND PASSWORD ENCRYPTION

The last step is username and password encryption.

Name	Туре	Data Type	Required	Description				
Username: Password	Attribute	string	Yes	Concatenate Saturn username	and			
				password (MD5 encrypted)	_			
				Concatenation is encrypted in base 6	4			

The User can take an encryption tool in the Internet of choice to convert the character string Username: Password.

As a result we can paste it in the field 'value':

POST V https://saturn-api-v.euronext-net.com/SaturnWel	Services/rest/Authentication/AuthenticateUser		Params Send Y Save
uthorization Headers (2) Body Pre-request Script	Tests		
Key	Value	Description	Bulk Edit Preset
Authorization	Basia Ym5wMTE6ZDBiZmJIZmU3NWI4YzZhYzIiZGEwY2NhZGNiYmExMzg=		
Content-Type	application/xml		
dy Cookies Headers (8) Tests			Status: 401 Unauthorized Time: 359
Pretty Raw Preview JSON V 🚍			<u>n</u>
1* { 2 "code": 401, 3 "msg": "Access denied ! Check your login/pessword 4 "recordCount": 1 5 }	(183)",		

7.4 RESULT

After clicking on SEND, the User will receive an acknowledge message:

https://saturn-api-v.et X + ***			No Environment 🗸 💿 🙀
POST V https://saturn-api-v.euronext-net.com/Satu	urnWebServices/rest/Authentication/AuthenticateUser		Params Send V Save V
uthorization • Headers (2) Body Pre-request Sci	ript Tests		1 Code
Key	Value	Description	••• Bulk Edit Presets 🔻
 Authorization 	Basic Ym5wMTE6ZDBiZmJIZmU3NWI4YzZhYzliZGEwY2NhZGNiYmExMzg=		
Content-Type	application/xml		
ody Cookies Headers (8) Tests			Status: 401 Unauthorized Time: 359 ms
Pretty Raw Preview JSON V			ū Q
<pre>1 * { "code": 401, "nsg": "Access denied ! Check your login/pass "recordCount": 1 " }</pre>	word (103)",		

The example above illustrates when a username and password is not granted, whilst the example below illustrates when a username and password is granted:

https://saturn-api-v.et http://qsdstweb23402 https://saturn-api-v.et +		N	No Environment V 🧐	⊙ ‡
POST V https://saturn-api-v.euronext-net.com/SaturnWebServices/rest/Authent	ication/AuthenticateUser		Params Send Y Save	e 🗸
Authorization Headers (1) Body Pre-request Script Tests				Code
Key	Value	Description	••• Bulk Edit Pres	esets 🔻
Authorization	Basic T3V0aG1hbkFQSTE6ZJFkOGY3MmQ0MDA0ZGM3NmUxNTQ2NTgxNDA3Nzg2M			
Body Cookies Headers (10) Tests			Status: 200 OK Time: 4	404 ms
Pretty Raw Preview JSON V 🌐			Ē	D C
1 * { 2 } 3 }	FidGgwTiwiZXhwIjoxNTA1MzgxMTExfQkwUCS6617Cpn6dX-i1ySmAZ5iurLcL541H64DxF3aE			

Examples

8. EXAMPLES

8.1 NEW SLC MAPPING

Pre requisite: SLC Mapping on **Euronext Market**.

Business Date		SLC N	Aapping to	insert		SLC Mapping already present					Result	
2023-02-21	ActionType	ShortCode	LongCode	CodeType	EffectiveDate			N/A			SLC Mapping successfully inserted.	
	l	1234	LGCODE1	5	2023-02-22							
2023-02-21	ActionType	ShortCode	LongCode	CodeType	EffectiveDate			N/A			SLC Mapping rejected because EffectiveDate <	
	1	1234	LGCODE1	5	<mark>2023-02-18</mark>						BusinessDate -1.	
2023-02-21	ActionType	ShortCode	LongCode	CodeType	EffectiveDate	ShortCode	LongCode	CodeType	EffectiveDate		SLC Mapping rejected because EffectiveDate	
	1	1234	LGCODE1	5	<mark>2023-02-22</mark>	1234	LGCODE1	5	<mark>2023-02-17</mark>		mapping already exists.	
2023-02-21	ActionType	ShortCode	LongCode	CodeType	EffectiveDate	ShortCode	LongCode	CodeType	EffectiveDate	EndDate	SLC Mapping successfully inserted.	
2023-02-21	1	1234	LGCODE1	5	<mark>2023-02-22</mark>	1234	LGCODE1	5	<mark>2023-01-17</mark>	<mark>2023-02-20</mark>	inserted.	

8.2 AMEND SLC MAPPING

<u>Pre requisite:</u> SLC Mapping on **Euronext Market**.

Business Date		SLC M	lapping to a	amend	Result		
2023-02-21	ActionType	ShortCode	LongCode	CodeType	EffectiveDate	N/A	SLC Mapping not amended as it does not exist.
	2	1234	LGCODE2	5	2023-02-22		

Examples

Business Date		SLC M	lapping to	amend		SLC Mapping already present						Result		
2023-02-21	ActionType	ShortCode	LongCode	CodeType	EffectiveDate	ActionType	ShortCode	LongCode	CodeType	EffectiveDate		SLC Mapping not amended because new EffectiveDate <		
	2	1234	LGCODE2	5	2023-02-17	2	1234	LGCODE1	5	<mark>2023-01-05</mark>	BusinessDate -1.			
2023-02-21	ActionType	ShortCode	LongCode	CodeType	EffectiveDate	ShortCode	LongCode	CodeType	EffectiveDat	e		SLC Mapping successfully updated.*		
2025 02 21	<u>2</u> 1	1234	LGCODE2	5	<mark>2023-02-22</mark>	1234	LGCODE1	5	<mark>2023-02-1</mark> 7					
	ActionType	ShortCode	LongCode	CodeType	EffectiveDate	ShortCode	LongCode	CodeType	EffectiveDa	te		SLC Mapping successfully updated, with a new		
2023-02-21	2	1234	LGCODE2	5	2023-02-25	1234	LGCODE1	5	<mark>2023-06-1</mark>	<mark>6</mark>	EffectiveDate as it's gre			
				1					-	-		than BusinessDate.		
2023-02-21	ActionType	ShortCode	LongCode	CodeType	EffectiveDate	ShortCode	LongCode	CodeType	EffectiveDa	te EndDate		SLC Mapping not updated as it's already closed. Please use		
	2	1234	LGCODE2	5	2023-02-25	1234	LGCODE1	5	2023-01-1	7 2023-02-15		New instead of Amend		

*: The update **closes** the SLC Mapping already present and **create** a new one, as per below:

ShortCode	LongCode	CodeType	EffectiveDate	EndDate
1234	LGCODE1	5	2023-01-17	<mark>2023-02-21</mark>
1234	LGCODE2	5	2023-01-22	N/A

8.3 CANCEL SLC MAPPING

Pre requisite: SLC Mapping on **Euronext Market**.

Business Date	SL	C Mapping	to cancel	SLC Mapping already present				Result		
2023-02-21	ActionType	ShortCode	CodeType	ShortCode	LongCode	CodeType	EffectiveDate		SLC Mapping successfully canceled,	
2025-02-21	3	1234	5	1234	LGCODE1	5	2023-02-17		with EndDate = BusinessDate.	
2023-02-21	ActionType	ShortCode	CodeType	ShortCode	LongCode	CodeType	EffectiveDate		SLC Mapping successfully deleted, as	
2023-02-21	3	1234	5	1234	LGCODE1	5	<mark>2023-05-28</mark>		EffectiveDate > BusinessDate.	
	ActionType	ShortCode	CodeType	ShortCode	LongCode	CodeType	EffectiveDate	EndDate	SLC Mapping already closed.	
2023-02-21	3	1234	5	1234	LGCODE1	5	2023-01-17	2023-02-15		
	ActionType	ShortCode	CodeType	ShortCode	LongCode	CodeType	EffectiveDate		SLC Mapping not canceled because it	
2023-02-21	3	1234	5	12345	LGCODE1	5	2023-01-17		does not exist.	

8.4 CORRECT A WRONG AMEND SLC MAPPING

Pre requisite: SLC Mapping on **Euronext Market**.

1- Client decides to update the existing SLC Mapping, with an **Effective Date = 2023-02-25**.

Business Date	SLC Mapping to amend			SLC Mapping already present			Result				
2023-02-21	ActionType	ShortCode	LongCode	CodeType	EffectiveDate	ShortCode	LongCode	CodeType	EffectiveDate		SLC Mapping successfully updated.*
2025-02-21	<u>2</u> 1	1234	LGCODE2	5	2023-02-25	1234	LGCODE1	5	2023-02-17		

*: The update **closes** the SLC Mapping already present and **create** a new one, as per below:

ShortCode	LongCode	CodeType	EffectiveDate	EndDate
1234	LGCODE1	5	2023-01-17	<mark>2023-02-24</mark>
1234	LGCODE2	5	<mark>2023-01-25</mark>	N/A

2- Client made a wrong update, and wanted **the new Long Code to be effective on the 2023-03-01** instead !

Therefore, the client need to perform:

- A Cancellation of the newly created SLC Mapping, that will be deleted from the System.

ShortCode	LongCode	CodeType	EffectiveDate	EndDate
1234	LGCODE2	5	<mark>2023-01-25</mark>	N/A

- Another Cancellation of the current SLC Mapping, that will automatically amend the EndDate.

ShortCode	LongCode	CodeType	EffectiveDate	EndDate
1234	LGCODE1	5	<mark>2023-01-17</mark>	<mark>2023-02-21</mark>

Client will then be able to add the SLC Mapping with the right Effective Date as a New !

DOCUMENT HISTORY

Version Number	Date	Author	Change Description
<u>3.0.1</u>	29 Apr 2024	MME	 Updated Section Examples: Amend Example amended with the correct Action Type value.
3.0.0	12 Jun 2023	MME	Specification update using new template.
			Removed Order ID section as it was deprecated.
			 Updated Section Data Structure And Validation Rules: New fields required for Short Long Code mapping declaration for non-MIFID members. A new file format is introduced. Also added modification on Short Long Code mapping feature with Action Type field introduction. Added Section Upload & API Fields Name: Fields naming convention whether used in Upload Files or via Web-API. Added Web-API Sections in Data Submission section: API REST that can be used by clients are specified, with examples. Added Sections SLC Mapping for Natural Persons – Non-MiFID Members only, SLC Mapping new features: Explanation on the Natural Persons fields
			 Explanation on the Natural Persons fields introduction, as well as on the new feature. Added Sections Action Type Field Error Management, Natural Persons fields Definition & Error Management: Explanation on controls done in the Short Long Code mapping declaration.
			 Added Section Examples: Examples are available for Members in order to correctly report SLC Mapping.Specification update using new template. Removed Order ID section as it was depreciated.
			 Updated Section Data Structure And Validation Rules: New fields required for Short Long Code mapping declaration for non-MIFID members. A new file format is accepted. Also added modification on Short Long Code mapping feature with Action Type field introduction.
			 Added Section <u>Upload & API Fields Name</u>: Fields naming convention whether used in Upload Files or via Web API.
			 Added Web API Sections in <u>Data Submission</u> section: API REST that can be used by clients are specified, with examples.
			 Added Sections <u>SLC Mapping for Physical Persons</u> <u>Non-MiFID Members only</u>, <u>SLC Mapping new features</u>: Explanation on the Physical Persons fields introduction, as well as on the new feature.
			 Added Sections <u>Action Type Field Error Management</u>, <u>Physical Persons fields Definition & Error</u> <u>Management</u>: Explanation on controls done in the <u>Short Long Code mapping declaration</u>.
			 Added Section <u>Examples</u>: Examples are available for Members in order to correctly report SLC Mapping.
2.4.0	06 Jan 2021	Euronext	The following section has been updated: <u>Section Data Structure Requirements</u> – update of possible Market Code values for SIGMA X EU and Morgan Stanley
	26 Sep 2020	Euronext	 Updating section <u>Logging In</u> (Web GUI).
2.3.0	20 300 2020		- Opualing Section Logand In (web Gor).

			-	Clarifications regarding timing of SLC Manager availability and delivery times
			•	Authorize T+1 for short code and DEA submission per OrderID
2.1.0	28 Sep 2017	Euronext		Add English screenshots for pre requisite API
2.0.0	27 Sep 2017	Euronext	•	Updated user access policies, updated specifications for long and short code mapping, new specifications for short code and DEA submission per OrderID
0.1.0	30 Jun 2017	Euronext	•	First draft.

